

Atty. Docket No.: IDF 1422 (4000-00400)

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Patent
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FEB 27 2004

Technology Center 2100

Applicant: Ivan Chow, et al.
Serial No.: 09/728,196
Filed: November 30, 2000
For: METHOD AND APPARATUS FOR
FOR IMPLEMENTING PERSISTENCE IN
TRADER SERVICES ASSOCIATED WITH
A COMPUTER SYSTEM

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Group Art Unit: 2126

Examiner: Anya, Charles E.

Confirmation No.: 3598

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

February 19, 2004
Karen A. Harris Date of Deposit
Karen A. Harris

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR §1.97, §1.98, Applicant is providing herewith copies of the supplementary items listed on the attached U.S. Patent and Trademark Office Form PTO-1449. This information is supplemental to the Information Disclosure Statements and Forms PTO-1449 filed in the above-referenced case on November 30, 2000.

The submission of this Supplemental Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicant hereby reserves the right to swear behind or otherwise

disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

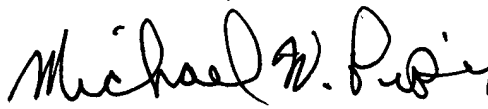
It is submitted that the art cited does not constitute a bar to the patentability of Applicant's invention under 35 U.S.C. § 102 or § 103.

This Information Disclosure Statement is submitted concurrently with a Request for Continued Examination (RCE) in the above-styled matter. Thus, in accordance with 37 C.F.R. 1.97(c), Applicant submits that because no Office Action on the merits has been received following the filing of a RCE, no fee is due for the filing of this Information Disclosure Statement. Nonetheless, in the event that a fee is due, please charge the Deposit Account 03-2769 of Conley Rose, P.C. in the amount of \$180 so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Date: 2/19/2004

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Respectfully submitted,
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